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BRINKS HOFER GILSON &LIONE

| IN | THE | LINITED | STATES | PATENT | AND TR | RADEMARK | OFFICE |
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| in re Appin. | of: T | ritt, | et | al. |
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|--------------|-------|-------|----|-----|

Appln. No.:

09/475,637

Filed:

December 30, 1999

For:

SIMULTANEOUS REAL-TIME ACCESS

TO FINANCIAL INFORMATION

Attorney Docket No:

10098/6

Mail Stop RCE

Commissioner for Patents P. O. Box 1450

Alexandria, VA 22313-1450

TRANSMITTAL

. A copy of this Transmittal is

Examiner: A. Rudy

Art Unit: 3627

Sir:

Attached is/are:

- Request for Continued Examination; Check for \$790.00; Petition For Extension of Time (Three Month); \boxtimes Check for \$1,020.00; Preliminary Amendment
- Ø Return Receipt Postcard

Fee calculation:

- П No additional fee is required.
- Small Entity.
- \boxtimes An extension fee in an amount of \$1,020.00 for a 3-month extension of time under 37 C.F.R. § 1.136(a).
- A petition or processing fee in an amount of \$____ under 37 C.F.R. § 1.17(____).
- An additional filing fee has been calculated as shown below:

| | | | | | Small Entity | | l | Not a Small Entity | |
|-----------|---|-------|------------------------------------|------------------|--------------|-----------|----------|--------------------|-----------|
| | Claims Remaining After Amendment | | Highest No. Previously Paid For | Present Extra | Rate | Add'l Fee | or | Rate | Add'l Fee |
| Total | 20 | Minus | 20 | 0 | x \$9= | | | x \$18= | 0 |
| Indep. | 3 | Minus | 3 | 0 | x 43= | | | x \$86= | 0 |
| First Pre | First Presentation of Multiple Dep. Claim | | | +\$145= | | | + \$290= | | |
| | | | | | Total | \$ | | Total | \$0 |

Fee payment:

- \boxtimes Checks in the amount of \$790.00 and \$1,020.00 are enclosed.
- Please charge Deposit Account No. 23-1925 in the amount of \$ enclosed for this purpose.

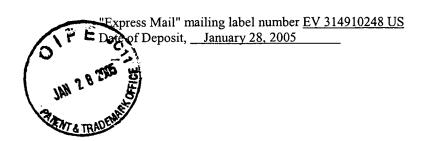
Payment by credit card in the amount of \$____ (Form PTO-2038 is attached).

 \boxtimes The Director is hereby authorized to charge payment of any additional filing fees required under 37 CFR § 1.16 and any patent application processing fees under 37 CFR § 1.17 associated with this paper (including any extension fee required to ensure that this paper is timely filed), or to credit any

overpayment, to Deposit Account No. 23-1925.

Respectfully submitted,

James L. Katz (Reg. No. 42,711)



BHGL Case No. 10098/6

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

| In re | Application of: |) |
|--------|--|-------------------------|
| | Tritt, et al. |)) |
| Seria | l No. 09/475,637 |) Examiner: A. Rudy |
| Filing | g Date: December 30, 1999 | Group Art Unit No. 3627 |
| For | SIMULTANEOUS REAL-TIME ACCESS TO FINANCIAL INFORMATION |))) |

PRELIMINARY AMENDMENT

Mail Stop RCE Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

Prior to the examination of the present application, please enter the following preliminary amendment: